PRODUCERS' QUESTIONNAIRE SACCHARIN FROM CHINA

Return completed questionnaire to:

UNITED STATES INTERNATIONAL TRADE COMMISSION

Office of Investigations, Room 615 500 E Street, SW, Washington, DC 20436

So as to be received by the Commission by no later than July 25, 2002

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping investigation concerning saccharin from China (inv. No. 731-TA-1013 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)).

Name of 1			
			Zip code
	ide Web address		
Has your find NO YES	(Sign the certification below and prompt (Read the instruction booklet carefully, or return the entire questionnaire to the Con	tly return only this page of the questi	ionnaire to the Commission)
	CE certifies that the information herein supp lge and belief and understands that the inf		
o use the informa	n the certification below will also serve as ation you provide in this questionnaire an Commission on the same or similar mero	nd throughout this investigation in a	any other import-injury investigations
ised by the Comb leveloping or ma nternal audits an	acknowledges that information submitted mission, its employees, and contract pe intaining the records of this investigatio ad investigations relating to the programs Il contract personnel will sign non-disclo	rsonnel who are acting in the cap on or related proceedings for which s and operations of the Commission	pacity of Commission employees, for h this information is submitted, or in
Name and Title	of Authorized Official	Date	
		()	()

PART I.--GENERAL QUESTIONS

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 30 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW., Washington, DC 20436.

		d completing the form.	and the cost to your firm of preparing the
h	ours	dollars	
instruction b		ng guidelines). If your fir	ed by this questionnaire (see page 3 of the m is publicly traded, please specify the
Do you supp	ort or oppose the	petition? Please explain.	
Support	Oppose	Take no position	
11	11	•	
			s question will be treated as business
proprietary. and an antide Act of 1930, possible dist business pro	However, if the Cumping duty orde will provide a list ribution of any arprietary treatment	Commission's final determer is issued, the Commission of firms supporting the partidumping duties that may tof your response to this of	s question will be treated as business nination in the investigation is affirmative on, pursuant to section 754 of the Tariff petition to the Customs Service for y be collected. If you wish to waive question in order to make your position f your firm on that list, indicate "yes"
proprietary. and an antide Act of 1930, possible dist business pro with respect	However, if the Cumping duty orde will provide a listribution of any arprietary treatment to the petition pu	Commission's final determents is issued, the Commission of firms supporting the partidumping duties that may to f your response to this cubic and allow inclusion of	nination in the investigation is affirmative on, pursuant to section 754 of the Tariff petition to the Customs Service for y be collected. If you wish to waive question in order to make your position
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proprietary. and an antide Act of 1930, possible dist business pro with respect below. Yes	However, if the Camping duty order will provide a listribution of any arreprietary treatment to the petition pu	Commission's final determents is issued, the Commission of firms supporting the partidumping duties that may to f your response to this cablic and allow inclusion of the statement of the statem	nination in the investigation is affirmative on, pursuant to section 754 of the Tariff petition to the Customs Service for y be collected. If you wish to waive question in order to make your position of your firm on that list, indicate "yes" on on the petition to be made public) m?
proprietary. and an antide Act of 1930, possible dist business pro with respect below. Yes Is your firm	However, if the Camping duty order will provide a listribution of any arreprietary treatment to the petition pu	Commission's final determents is issued, the Commission of firms supporting the partidumping duties that may to f your response to this calculate and allow inclusion of the second of t	innation in the investigation is affirmative on, pursuant to section 754 of the Tariff petition to the Customs Service for y be collected. If you wish to waive question in order to make your position if your firm on that list, indicate "yes" on on the petition to be made public) m?

PART I.-GENERAL QUESTIONS--Continued

I-5.	Does your firm have any related firms, either domestic or foreign, which are engaged in importing saccharin from China into the United States or which are engaged in exporting saccharin from China to the United States?						
	No	YesList t	he following inforn	nation.			
	Firm name		Address		Affiliation		
I-6.	Does your firm production of sa		d firms, either dome	estic or foreign, which	are engaged in the		
	No	YesList t	he following inforn	nation.			
	Firm name		Address		Affiliation		
PART	Γ II <u>TRADE AN</u>	D RELATED	INFORMATION				
	er information on t y all data reques			e obtained from D.J. N	a (202-708-4727).		
II-1.	Who should be contacted regarding the requested trade and related information?						
	Company conta	Name and	title				
		Phone No.		E-mail address			
II-2.	Has your firm experienced any plant openings, relocations, expansions, acquisitions, consolidations, closures, or prolonged shutdowns because of strikes or equipment failure; curtailment of production because of shortages of materials; or any other change in the character of your operations or organization relating to the production of saccharin since January 1, 1999? No YesSupply details as to the time, nature, and significance of such changes.						

PART II.--TRADE AND RELATED INFORMATION--Continued

II-3.	Does your firm produce other products on the same equipment and machinery used in the production of saccharin?				
	□No □YesList th	ne following information.			
	Product	Basis for allocation of capacity data			
II-4.	Please describe the constraint(s) that set the limit(s) on your production capabilities.			
II-5.	Does your firm produce other products using the same production and related workers employed to produce saccharin?				
	□ No □ YesList th	he following information.			
	Product	Basis for allocation of employment data			
II-6.	Since January 1, 1999, has your instruction booklet) regarding to	r firm been involved in a toll agreement (see definition in the he production of saccharin?			
	No YesName	e firm:			
II-7.	Does your firm produce saccha	rin in a foreign trade zone (FTZ)?			
	No YesIdenti	fy FTZ(s):			
II-8.	Since January 1, 1999, has you	r firm imported saccharin?			
		PLETE AND RETURN THE ENCLOSED IMPORTERS' STIONNAIRE			

PART II.--TRADE AND RELATED INFORMATION--Continued

II-9. Report your firm's production capacity, production, shipments, inventories, and employment related to the production of saccharin in your U.S. establishment(s) during the specified periods. (See definitions in the instruction booklet.)

(<i>Quantity</i> in 1,000	pounds, <i>val</i>	ue in \$1,000)			
		Calendar year	s	Januar	y-March
Item	1999	2000	2001	2001	2002
AVERAGE PRODUCTION CAPACITY (quantity)					
BEGINNING-OF-PERIOD INVENTORIES (quantity)					
PRODUCTION (quantity)					
U.S. SHIPMENTS:			•		
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption:				•	
Quantity of internal consumption					
Value ¹ of internal consumption					
Transfers to related firms:				•	
Quantity of transfers to related firms					
Value ¹ of transfers to related firms					
EXPORT SHIPMENTS: ²				•	
Quantity of export shipments					
Value of export shipments					
END-OF-PERIOD INVENTORIES ³ (quantity)					
U.S. SHIPMENTS TO DISTRIBUTORS (quantity)					
U.S. SHIPMENTS TO END USERS (quantity)					
AVERAGE NUMBER OF PRWs					
HOURS WORKED BY PRWs (1,000 hours)					
WAGES PAID TO PRWs (value)					
¹ Internal consumption and transfers to related firms must basis for valuing these transactions, please specify that basis (1999, 2000, and 2001 below:	be valued at fee.g., cost, cos	air market value it plus, etc.) and	e. In the event d provide value	that you use a data using the	different at basis for
² Identify your principal export markets:					
³ Reconciliation of dataPlease note that the quantities re inventories, plus production, less total shipments, equals end-o	of-period inver	ntories. Do the	data reported r	reconcile?	period

PART II.--TRADE AND RELATED INFORMATION--Continued

II-10. <u>Shipments of saccharin, by type</u>.—Report your firm's U.S. shipments (commercial shipments plus internal consumption/transfers) of the following types of saccharin produced in your U.S. establishment(s) during the specified periods by quantity and value.

(Quanti	ity in 1,000 pounds, va	<i>lu</i> e in \$1,000)			
.,	(Calendar year	rs	January	/-March
ltem	1999	2000	2001	2001	2002
Sodium saccharin (American Chemical Socie	ty Chemical Abstract S	Service (CAS)	registry #12	8-44-9)	
Quantity					
Value					
Calcium saccharin (CAS Registry #6485-34-3)					
Quantity					
Value					
Acid or insoluble saccharin (CAS Registry #8	1-07-2)		•		
Quantity					
Value					
Research grade saccharin			•		
Quantity					
Value					
All other saccharin ¹			•		
Quantity					
Value					
¹ Identify these types of saccharin and descr	ibe their uses.				
Reconciliation of dataPlease note that the value of U.S. commercial shipments plus interna reported reconcile? Yes NoPlease explain:					

II-11.	If you reported transfers to related firms in question II-9, please indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced by market or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.									
II-12.	Other than direct imports, has your firm otherwise purchased saccharin since January 1, 1999? (See definitions in the instruction booklet.)									
	□ No □ YesReport	such purchas	ses below for	the specified	periods. ¹					
	(Quantity	in 1,000 pou	nds, <i>valu</i> e in	າ \$1,000)						
	Item	С	alendar year	rs	January-March					
	itom	1999	2000	2001	2001	2002				
PURC	HASES FROM U.S. IMPORTERS ²	OF SACCHAR	RIN FROM							
CI	HINA:				,	T				
	Quantity									
	Value									
Al	LL OTHER COUNTRIES:									
	Quantity									
	Value									
PURC	HASES FROM DOMESTIC PRODU	JCERS:2								
Q	uantity									
Vá	alue									
PURC	HASES FROM OTHER SOURCES:	.2		<u> </u>						
Q	uantity									
Vá	alue			,						
1 F elabor	Please indicate your reasons for pure ate.	chasing this p	roduct. If you	ır reasons diffe	er by source, p	olease				
	Please list the name of the firm(s) from the please identify the source for each			is product. If y	our suppliers	differ by				

Address questions on this part of the questionnaire to Chand Mehta (202-205-3174)

PART III.--FINANCIAL INFORMATION

Company contact: Name and title	Phon	e No.	
When does your fiscal year end (month and day)?			
If your fiscal year changed during the periods for which data are being	ng reporte	d, explain	below:
Accounting basisThe financial records of your firm are prepared o	n the basis	s of:	
GAAP Tax Cash Other (specify))		
Reports and statementsDid your firm or your parent prepare any o documents listed below during the period of the investigation? If so them along with your completed questionnaire unless they are availa (including the Securities and Exchange Commission's EDGAR site)	, please su ble on the	bmit copi	
My firm or parent does or does not prepare financial statem	ents (annu	al reports,	, 10-K's
Are the above documents available on the World Wide Web:	YES	NO	
At the SEC's EDGAR site?			
At some other site? (WWW address)			
My firm or parent does or does not prepare internal profit-at operations which indicate the cost of production of saccharin. My firm or parent does or does not prepare internal reports			
production of saccharin.	8		
Other productsPlease list any other products you produced in the f produced saccharin, and provide the share of net sales accounted for your most recent fiscal year:			
Product(s) Sha	are of sales	3	

PART III.--FINANCIAL INFORMATION--Continued

Operations on saccharin.--Report the revenue and related cost information requested below on the saccharin operations of your U.S. establishment(s).¹ Note that internal consumption and transfers to related firms must be valued at fair market value and purchases from related firms must be at cost. Please provide details on any restructuring and other non-recurring items. Provide data for your three most recently completed fiscal years and the interim periods in chronological order from left to right.

(Quantity in 1,000 pounds, value in \$1,000)

(Qua	<i>Intity</i> in 1,000 po	unus, <i>vaiu</i> e in	φ1,000)		
14		Fiscal year		Jan.	-Mar.
Item	1999	2000	2001	2001	2002
Net sales quantities: ²	•		•	•	
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales quantities					
Net sales values: ²	•		•	•	
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales values					
Cost of goods sold (including internal cons	umption and tran	sfers to related t	firms):	ı	ı
Raw materials					
Direct labor					
Other factory costs					
Total cost of goods sold					
Gross profit or (loss)					
Selling, general, and administrative (SG&A)	expenses:	•		•	•
Selling expenses					
General and administrative expenses					
Total SG&A expenses					
Operating income or (loss)					
Other income and expenses:	•	•	•	•	•
Interest expense					
All other expense items					
All other income items					
All other income or expenses, net					
Net income or (loss) before tax					
Depreciation/amortization included above					
	•	•	•		•

¹ Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations.

² The quantities and values should approximate the shipment quantities and values reported in Part II of this questionnaire.

PART III.--FINANCIAL INFORMATION--Continued

Fiscal year				Jan	Mar.
Item	1999	2000	2001	2001	2002
Capital expenditures					
Research and development expenditures					
Property, plant, and equipmen	ıt:			_	
Original cost					
Book value					

E	Since January 1, 1999, has your firm experienced any actual negative effects on its return on investment or its growth, investment, ability to raise capital, existing development and production efforts (including efforts to develop a derivative or more advanced version of the product), or the scale of capital investments as a result of imports of saccharin from China?					
	□ No	YesMy firm has experienced actual negative effects as follows:				
		Cancellation or rejection of expansion projects				
		Denial or rejection of investment proposal				
		Reduction in the size of capital investments				
		Rejection of bank loans				
		Lowering of credit rating				
		Problem related to the issue of stocks or bonds				
		Other (specify)				
E	Does your firm ant	icipate any negative impact of imports of saccharin from China?				
	□ No	YesMy firm anticipates negative effects as follows:				

PART IV.--PRICING AND RELATED INFORMATION

Further inf	formation on this part of t	the questionnaire can be ob	tained from Joshua Levy (202-205-3236).			
IV-1.	Who should be contacted regarding the requested pricing and related information?					
	Company contact:	Name and title				
		Phone No.	F-mail address			

Section IV-A.--PRICE DATA

This section requests quarterly price and quantity data concerning your firm's U.S. commercial shipments of the following products during January 1999-March 2002:

Product 1.—Sodium saccharin, granular, sized or unsized, FCC, 10-17 percent water.

<u>Product 2.</u>—Sodium saccharin, powder, FCC, 3-6 percent water.

Product 3.—Acid or insoluble saccharin, spray-dried powder, FCC.

Product 4.—Calcium saccharin, spray-dried powder, FCC.

<u>Product 5.</u>—Sodium saccharin, granular, sized or unsized, non-food grade, 10-17 percent water.

Section IV-A.--PRICE DATA--Continued

COPY THIS PAGE AS NECESSARY. Complete a separate page for each of the specified products produced and sold by your firm to the specified type of U.S. customer (check one box in each category for each page).								
Product 1 Product 2 Product 3 Product 4 Product 5								
End user	Distributor 🗌							
(Quantity in pounds, value in dollars)								
Period of shipment	Quantity	F.o.b. value	Delivered value					
1999:								
January-March								
April-June								
July-September								
October-December								
2000:								
January-March								
April-June								
July-September								
October-December								
2001:								
January-March								
April-June								
July-September								
October-December								
2002:								
January-March								
¹ If your product does not exactly meet the product specifications but is product:	s competitive with the spe	ecified product, provide a d	escription of your					

Section IV-B.--PRICE-RELATED QUESTIONS

Please describe how your firm determines the prices that it charges for sales of saccharin (transaction by transaction negotiation, contracts for multiple shipments, set price lists, etc.). If your firm issues price lists, please include a copy of a recent price list with your submission. If your price list is large, please submit sample pages.
Please describe your firm's discount policy (quantity discounts, annual total volume discounts, etc.).
What are your firm's typical sales terms for its U.Sproduced saccharin (e.g., 2/10 net 30 days)? On what basis are your prices of domestic saccharin usually quoted (e.g., f.o.b. warehouse, or delivered)?
Approximately what percentage of your firm's sales of its U.Sproduced saccharin are on a contract (percent) vs. spot sales (percent) basis? If you sell on a contract basis, please answer the following questions with respect to provisions of a typical contract.
(a) What is the average duration of a contract?
(b) How frequently are contracts renegotiated?
(c) Does the contract fix quantity, price, or both?
(d) Does the contract have a meet or release provision?
(e) What are the standard quantity requirements, if any?
(f) What is the price premium for sub-minimum shipments? percent
What is the average lead time between a customer's order and the date of delivery for your firm's sales of saccharin?
From inventory:
Made to order:

Section IV-B.--<u>PRICE-RELATED QUESTIONS</u>--Continued

IV-B-6.	What is the approximate percentage of the total delivered cost of saccharin that is accounted for by transportation costs? percent. Who generally arranges the transportation to your customers' locations? Your firm or purchaser (check one). What proportion of your sales occur within 100 miles of your storage or production facility? percent. 101 to 1,000 miles? percent. Over 1,000 miles? percent.
IV-B-7.	What is the geographic market area in the United States served by your firm's saccharin?
IV-B-8.	What other products may be substitutes for saccharin (i.e., sugar, aspartame, etc.)?
IV-B-9.	Describe the end uses of the saccharin that you produce. For each end use product, what percentage of the total cost is accounted for by saccharin?
IV-B-10.	How has the demand within the United States (and outside the United States if known) for saccharin changed since January 1, 1999? What were the principal factors affecting changes in demand?
IV-B-11.	Have there been any significant changes in the product range or marketing of saccharin in the past five years? No YesPlease describe.

Section IV-B.--<u>PRICE-RELATED QUESTIONS</u>--Continued

IV-B-12.	Does y	our firm sell sac	charin over the internet?
		No	Yes-Please describe, noting the estimated percentage of your firm's total sales of saccharin in 2001 accounted for by internet sales.
IV-B-13.			roduced and imported saccharin from China used interchangeably (i.e., can they used in the same applications)?
		Yes	NoPlease explain.
IV-B-14.			roduced and <u>NONSUBJECT</u> imported saccharin (i.e., product imported from a not subject to this investigation) generally used interchangeably?
		Yes	NoPlease explain, by country.
IV-B-15.		Are <u>NONSUB</u> ,	JECT imported saccharin and imported saccharin from China used interchangeably?
		Yes	NoPlease explain, by country.

Section IV-B.--<u>PRICE-RELATED QUESTIONS</u>--Continued

IV-B-16.	Are there any differences in product characteristics or sales conditions between U.Sproduced saccharin and saccharin imported from China that are a significant factor in your firm's sales of saccharin?
	No YesPlease describe any such advantages or disadvantages of the domestic product vis-a-vis the imported product (e.g., grain size consistency, purity, clumping, packaging, availability, transportation network, product range, etc.).
IV-B-17.	Are there any differences in product characteristics or sales conditions between U.Sproduced saccharin and <u>NONSUBJECT</u> imported saccharin that are a significant factor in your firm's sales of saccharin?
	No YesPlease describe any such advantages or disadvantages of the domestic product vis-a-vis the nonsubject imported product, by country of origin.
IV-B-18.	Are there any differences in product characteristics or sales conditions between <u>NONSUBJECT</u> imported saccharin and imported saccharin from China that are a significant factor in your firm's sales of saccharin?
	No YesPlease describe, by country, any such advantages or disadvantages of the nonsubject imported product vis-a-vis the imported product from China.

Section IV-B.--PRICE-RELATED QUESTIONS--Continued

IV-B-19.	Do your customers require you to be certified or prequalified with respect to the quality, chemistry, or other performance characteristic of the saccharin you sell to them?
	YesApproximately what percent of your firm's total 2001 sales of saccharin required some form of certification or pre-qualification? percent. Please provide a general description of the certification or qualification process your firm must complete.
IV-B-20.	What impact, if any, did the Saccharin Study and Label Act have on the market for saccharin? Does this act still affect the demand for saccharin?

Section IV-C.--CUSTOMER IDENTIFICATION

Please identify below the names and addresses of your firm's 10 largest U.S. customers for saccharin during 1999-2001. Please also provide the name and telephone number of a contact person and the share of the quantity of your firm's U.S. shipments of saccharin that each of these customers accounted for in 2001.

No.	Customer's name	Street address, state, and zip code	Contact person	Area code and telephone number	Share of 2001 shipments (%)
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					

Section IV-D.--COMPETITION FROM IMPORTS--LOST REVENUES

(Note: petitioner may only provide allegations involved)	ing quotes mad	de AFTER the filing	g of the petition.)
Since January 1, 1999: To avoid losing sales to com	petitors selling	g saccharin from Ch	ina, did your firm:
Reduce prices	Yes	No	
Roll back announced price increases	Yes	\square_{No}	
If yes, please furnish as much of the following informal allegations of lost revenues whenever possible (docum from customers). Please note that the Commission relations	nentation could	d include copies of i	nvoices, sales reports, or letters
Customer name, contact person, phon Specific product(s) involved	e and fax num	bers	
Date of your initial price quotation			
Quantity involved		1	
Your initial <i>rejected</i> price quotation (t		,	
Your <i>accepted</i> price quotation (total d		,	
The country of origin of the competin	•		
The competing price quotation of the	imported prod	uct (total delivered	value)

Customer name, contact person, phone and fax numbers	Product	Date of quote	Quantity (pounds)	rejected	Accepted U.S. price (total value dollars)	Country	Competing import price (total valuedollars)

Section IV-E.--COMPETITION FROM IMPORTS--LOST SALES

(Note: petitioner m	nay only provide allegations involving quotes made AFTER the filing of the petition.)
Since January 1, 1	999: Did your firm lose sales of saccharin to imports of this product from China?
	Yes No
allegations of lost s	th as much of the following information as possible for each affected transaction. Document such ales whenever possible (documentation could include copies of invoices, sales reports, or letters from note that the Commission may contact the firms named to verify the allegations reported.
	stomer name, contact person, phone and fax numbers
	ecific product(s) involved
Da	te of your price quotation
Qu	antity involved

Your rejected price quotation (total delivered value)
The country of origin of the competing imported product
The accepted price quotation of the imported product (total delivered value)

Customer name, contact person, phone and fax numbers	Product	Date of quote	Quantity (pounds)	Rejected U.S. price (total value dollars)	Country of origin	Accepted import price (total value dollars)